



2172
#3
BT
1-30-04

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Gang Luo and Ambuj Shatdal § Group Art Unit:
Serial No.: 09/935,870 §
Filed: August 23, 2001 § Examiner:
For: Parallel Random Sampling § Atty. Dkt. No.: 9920 (NCR.0053US)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

RECEIVED

JAN 29 2004

Technology Center 2100

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Applicant submits the references listed on the attached form PTO 1449 together with any required copies of such references. This statement is being filed after a first Office Action on the merits, but before receipt of a final Office Action or a Notice of Allowance. Please apply the payment of \$180.00 of the late submission fee of §1.17(p) to Deposit Account 50-1673 (9920).

Respectfully submitted,

Date:

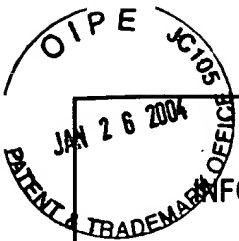
Jan 23, 2004

Dan C. Hu
Registration No. 40,025
TROP, PRUNER & HU, P.C.
8554 Katy Freeway, Suite 100
Houston, Texas 77024
(713) 468-8880 [Phone]
(713) 468-8883 [Fax]

RECEIVED
JAN 30 2004
TC 2100

Date of Deposit: January 23, 2004
I hereby certify under 37 CFR 1.6(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dawn L. Thomas



| | |
|---|--------------------------|
| ATTY DOCKET NO. 9920 (NCR.0053US) | SERIAL NO. 09/935,870 |
| APPLICANT(S): Gang Luo And Ambuj Shatdal | |
| FILING DATE: August 23, 2001 | GROUP ART UNIT: |

INFORMATION DISCLOSURE CITATION
(Use several sheets if necessary)

U.S. PATENT DOCUMENTS

| *EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|----------------------|----|-----------------|---------|---------------------------------------|-------|----------|-------------------------------|
| | A. | 5,878,426 | 03-1999 | PLASEK, ET AL | | | |
| | B. | 6,003,036 | 12-1999 | MARTIN | | | |
| | C. | 6,223,171 | 04-2001 | Chaudhuri et al. | | | |
| | D. | SN 10/113,497 | | VIJAYKUMAR ANJUR AND AMBUJ SHATDAL | | | 04-1-2002 |
| | E. | | | | | | |
| | F. | | | | | | |
| | G. | | | | | | |
| | H. | | | | | | |
| | I. | | | | | | |

RECEIVED

JAN 29 2004

Technology Center 2100

FOREIGN PATENT DOCUMENTS

| | | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION | |
|--|----|-----------------|------|---------|-------|----------|-------------|----|
| | | | | | | | YES | NO |
| | J. | | | | | | | |
| | K. | | | | | | | |
| | L. | | | | | | | |
| | M. | | | | | | | |
| | N. | | | | | | | |
| | O. | | | | | | | |
| | P. | | | | | | | |

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

| | | |
|--|----|---|
| | Q. | William G. Cochran, "Sampling Techniques, third edition," page 18 (1977). |
| | R. | |
| | S. | |
| | T. | |
| | U. | |
| | V. | |
| | W. | |

| | |
|----------|-----------------|
| EXAMINER | DATE CONSIDERED |
|----------|-----------------|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.